Introduction to SQL Server & XML

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1 Introduction

This compendium gives a short introduction to SQL Server 2022 and its facilities for database administration. We discuss installing SQL Server 2022 and using the Microsoft SQL Server Management Studio. After that, there is an introduction to some Microsoft specific XML features. All the examples are tested on SQL Server for Windows on Windows 10, but they should work in a similar manner on any platform.

The latest version of this compendium is available at

<u>http://coursematerial.nikosdimitrakas.com/sqlserverxml/</u> where all other relevant files can also be found.

1.1 SQL Server

SQL Server is Microsoft's major relational DBMS. It has certain similarities to Access, which is a smaller relational DBMS included in Microsoft Office. Microsoft has decided not to implement any XML support according to the latest SQL standards in SQL Server. Instead, Microsoft-specific extensions have been designed, that add corresponding XML features to the ones described in the SQL standard. These Microsoft-specific extensions are named SQLXML, while the XML part of the SQL standard goes under the name SQL/XML. These two are not to be confused with one another.

SQL Server has a set of tools for working with databases and for managing SQL Server systems. The Microsoft SQL Server Management Studio (Management Studio for short) serves as the main hub for performing most tasks. It has several wizards and support for SQL queries and scripts. There are several other tools bundled with SQL Server, but they are not relevant for this introduction.

1.2 Prerequisites

It is required that the reader is familiar with database administration and SQL and has a good understanding of XML. This introduction focuses on SQL Server specific XML features, so most basic database concepts will not be explained in detail. All the examples can be executed in any interface tool for SQL Server but the recommended tool is the Management Studio (which is bundled with SQL Server).

1.3 Structure

In the next chapter we will take a quick look at the installation and configuration of SQL Server and at the Management Studio. After that we will look at the sample data used in the examples to come. In chapter 3.1 we will go through several examples using the sample data and SQL Server's XML features.

2 SQL Server 2022

SQL Server 2022 is available as a free trial by Microsoft. For students and faculty at most universities, SQL Server and other Microsoft products are available for free for non-commercial use through Microsoft Azure Dev Tools for Education (formerly known as Imagine or DreamSpark).

2.1 Installation

Start by downloading the appropriate installation file. This compendium is based on SQL Server 2022 Standard Edition for Windows 10 x64, but Developer, Standard or Express Edition on any Windows should work just as well with some minor visual differences. SQL Server 2022 requires Windows 10 or later.

Run the executable setup.exe to start the installation. The SQL Server Installation Center will soon appear. In the menu on the left you can choose an activity. If you choose "Installation", then you will be presented with alternative installation options:

🃸 SQL Server Installation Center	- 🗆 X
Planning Installation	New SQL Server standalone installation or add features to an existing installation Launch a wizard to install SQL Server 2022 in a non-clustered environment or to add features to an existing SQL Server 2022 instance.
Maintenance Tools	Install SQL Server Reporting Services Launch a download page that provides a link to install SQL Server Reporting Services. An internet connection is required to install SSRS.
Resources Advanced Options	 Install SQL Server Management Tools Launch a download page that provides a link to install SQL Server Management Studio, SQL Server command-line utilities (SQLCMD and BCP), SQL Server PowerShell provider, SQL Server Profiler and Database Tuning Advisor. An internet connection is required to install these tools.
	Install SQL Server Data Tools Launch a download page that provides a link to install SQL Server Data Tools (SSDT). SSDT provides Visual Studio integration including project system support for Microsoft Azure SQL Database, the SQL Server Database Engine, Reporting Services, Analysis Services and Integration Services. An internet connection is required to install SSDT.
	New SQL Server failover cluster installation Launch a wizard to install a single-node SQL Server 2022 failover cluster. This action is only availabe in the clustered environment.
	Add node to a SQL Server failover cluster Launch a wizard to add a node to an existing SQL Server 2022 failover cluster. This action is only availabe in the clustered environment.
Microsoft SQL Server 2022	Upgrade from a previous version of SQL Server Launch a wizard to upgrade a previous version of SQL Server to SQL Server 2022. Click here to first view Upgrade Documentation

Start a new installation by selecting the option "New SQL Server stand-alone installation or add features to an existing installation".

The installation wizard will launch and it may take a few minutes before it completes its preparations. You may also have to press "OK" a few times. Eventually, you will be asked to specify the edition to install or provide a product key. We choose "Developer", but you may choose a different option if necessary.

髋 SQL Server 2022 Setup	- 🗆 X
Edition Select the edition of SQL Serve	-2022 you want to install.
Edition License Terms Global Rules Product Updates Install Stup Files Install Rules Azure Extension for SQL Server Feature Extension for SQL Server Feature Rules Feature Rules Feature Configuration Rules Ready to Install Installation Progress Complete	Select an edition of SQL Server to install. You can choose to either use a SQL Server license that you have already purchased by entering the product key or choose pay-as-you-go billing through Microsoft Azure. You can also specify a free edition of SQL Server Evaluation, as the same set of features found in Evaluation, but is licensed for non-production database application development only. To upgrade from one installed edition to another, run the Edition Upgrade Wizard. (a) Specify a free edition: Developer Use pay-as-you-go billing through Microsoft Azure: Warning: To enable this option, you must have an active Azure subscription that you will be required to provide along with a resource group, Azure region, and tenant ID later in setup. For more information, see https://aka.ms/ArcEnabledSqIPAVG. Standard Enter the product key: Lice pay-as-you-go Julies pay-as-you-go Julies pay-as-you-go billing through Microsoft Azure: Warning: To enable this option, you must have an active Azure subscription that you will be required to provide along with a resource group, Azure region, and tenant ID later in setup. For more information, see https://aka.ms/ArcEnabledSqIPAVG. Standard Enter the product key: I have a SQL Server license with Software <u>A</u> surance or SQL Software Subscription I have a SQL Server license only
	< <u>B</u> ack. <u>N</u> ext > Cancel

Press "Next" and accept the license terms in order to continue. Press "Next" until you come to the step Install Rules. The wizard will work for a while and then hopefully will show a summary that all the operations passed. If the wizard jumps automatically to the step "Azure Extension for SQL Server", then everything was fine. Make sure to uncheck the "Azure Extension for SQL Server":

🐮 SQL Server 2022 Setup			- 0	×
Azure Extension for SC Azure Extension for SQL Server	L Server	ive Directory.		
Edition License Terms Global Rules Product Updates Install Setup Files Install Rules Azure Extension for SQL Serv Feature Selection Feature Configuration Rules Ready to Install Installation Progress Complete	Azure Extension for SQL Server Use Azure Login Use Service Principal Azure Service Principal ID* Azure Service Principal Secret* Azure Subscription ID* Azure Resource Group* Azure Resource Group* Azure Region* Azure Tenant ID* Proxy Server URL (optional)	To install Azure extension for SQL Server, provide your Azure account or a service principal to authenticate the SQL Server if Azure. You also need to provide the Subscription (D, Resource Group, Region, and Tenant (D where this instance will be reg more information for each parameter, visit https://aka.mc/arc-sql-server.		
		< <u>B</u> ack <u>N</u> ext >	Cano	el

Continue to "Feature Selection" to select which features to install. You can install what you want, but the Database Engine Services must be installed. Here is our configuration:

🐮 SQL Server 2022 Setup			- □ >	×
Feature Selection Select the Developer features to	Feature Selection Select the Developer features to install. Ition Rease Terms oblit Nets odukt Updates tall Select odukt Updates tall Select tall Select Outprise Petters Oblit Nets odukt Updates tall Select Instance Features Instance Features <t< td=""></t<>			
Edition License Terms Global Rules Product Updates Install Setup Files Install Setup Files Install Rules Azure Extension for SQL Server Feature Selection Instance Configuration Server Configuration Server Configuration Database Engine Configuration Feature Configuration Rules Ready to Install Installation Progress Complete	Eestures Instance Features SQL Server Replication GALAbase Engine Services GL Server Replication Hachine Learning Sec Full-Text and Semanti Data Quality Service PolyBase Quary Service Analysis Services Data Quality Client Integration Services Scale Out Master Scale Out Morker Master Data Services	ices and Language Extensions Extractions for Search	Includes the Database Engine, the core service for storing, processing and securing data. The Database Engine provides controlled access and rapid transaction processing and also provides rich support for sustaining high availability. The Database Engine also provides upport for the utility control point in the SQL Server Utility. Only Database Engine Services and Analysis Services can be clustered. Prerequipties for selected features: Aready installed: Windows PowerShell 3.0 or higher To be installed from media: Microsoft Visual C + 2017 Redistributable Disk Space Requirements	
		C\Program Files\Microsoft SQL Server\ C\Program Files\Microsoft SQL Server\ C\Program Files (x86)\Microsoft SQL Server\		

Note that in older versions an option to install "Management Tools" was available in the "Feature Selection" step. Starting with SQL Server 2016, the Management Tools must be installed separately from the main menu.

As soon as you press "Next" the wizard will check that your configuration and your system are compatible and consistent. Go to the next step to configure the instance to be installed. The defaults are just fine:

髋 SQL Server 2022 Setup					- 0	×
Instance Configuration Specify the name and instance		QL Server. Instance ID becomes part of the installation path.				
Edition License Terms Global Rules Product Updates Install Setup Files Install Rules Azure Extension for SQL Server Feature Selection Feature Rules	Default instance Named instance: * Instance ID: SQL Server directory: Installed instances:	MSSQLSERVER	Ř			
Instance Configuratio Specify the name and instanc Edition License Terms Global Rules Product Updates Install Setup Files Install Nules Azure Extension for SQL Server Feature Selection	Instance Name	Instance ID	Features	Edition	Version	
	1			< <u>B</u> ack	<u>N</u> ext > Can	icel

In the next step you must configure the system services. You can specify which account should be used for starting each service. We use the defaults. Just make sure the accounts have high enough permissions. During this step you can also configure the default collation for the server. The default (Latin1 general case-insensitive accent-sensitive) will be sufficient for this introduction.

📸 SQL Server 2022 Setup				- 🗆 X
Server Configuration				
Specify the service accounts and	d collation configuration.			
Edition License Terms	Service Accounts Collation			
Global Rules	Microsoft recommends that you use a separate acco	ount for each SQL Server service.		
Product Updates	Service	Account Name	Password	Startup Type
Install Setup Files	SQL Server Agent	NT Service\SQLSERVERAGENT		Manual 🗸
Install Rules	SQL Server Database Engine	NT Service\MSSQLSERVER		Automatic 🗸
Azure Extension for SQL Server	SQL Server Browser	NT AUTHORITY\LOCAL SERVICE		Disabled 🗸
Feature Selection Feature Rules				
	Grant Perform Volume Maintenance Tasks privile			
Instance Configuration		avoiding zeroing of data pages. This may lead to information disclosure by allowing delete	ed content to be accessed.	
Server Configuration	Click here for details			
Database Engine Configuration Feature Configuration Rules				
Ready to Install				
Installation Progress				
Complete				
			< <u>B</u> ack	Next > Cancel
髋 SQL Server 2022 Setup				— П X
a set server core setup				
Server Configuration				
Specify the service accounts and	d collation configuration.			
			Manual Manual Automatic Automatic Disabled Disabled	
Edition	Service Accounts Collation			
License Terms				
Global Rules	Database Engine:			
Product Updates	SQL_Latin1_General_CP1_CI_AS			<u>C</u> ustomize
Install Setup Files	Latin1-General, case-insensitive, accent-sensitive, k	anatype-insensitive, width-insensitive for Unicode Data, SQL Server Sort Order 52 on Code I	Page 1252 for non-Unicode Data	
Install Rules				
Azure Extension for SQL Server				
Feature Selection Feature Rules				
Instance Configuration				
Server Configuration				
Database Engine Configuration				
Feature Configuration Rules				
Ready to Install				
Installation Progress				
Complete				

In the next step you can decide the authentication mode for users that connect to the SQL Server. Windows authentication mode requires that a user must have a Windows account in order to access the database server. With mixed mode, users can be created directly in the database server and are not required to have a Windows account. Users with Windows accounts can still access the database server. Mixed mode is more flexible, but perhaps less secure. You can also specify which Windows users should be administrators for SQL Server. We have added all Windows administrators to be administrators for SQL Server. You may want to add the current user or other users based on your needs. Just adding the Windows group Administrators may not always work based on your Windows security settings, so it is a good idea to add specific users to the list.

< <u>B</u>ack <u>N</u>ext > Cancel

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簧 SQL Server 2022 Setup		-		×
Database Engine Config Specify Database Engine auther	guration ntication security mode, administrators, data directories, TempD8, Max degree of parallelism, Memory limits, and Filestream settings.			
Edition	Server Configuration Data Directories TempDB MaxDOP Memory FILESTREAM			
License Terms Global Rules	Specify the authentication mode and administrators for the Database Engine.			
Product Updates Install Setup Files	Authentication Mode			-
Install Rules Azure Extension for SQL Server	O Windows authentication mode Mixed Mode (SQL Server authentication and Windows authentication)			
Feature Selection Feature Rules	Specify the password for the SQL Server system administrator (sa) account.			
Instance Configuration Server Configuration	gite password.			
Database Engine Configuration Feature Configuration Rules	Specify SQL Server administrators BUILTIN Administrators (Administrators) SQL Server administrators have unrestricted access to the Database Engine.			_
Ready to Install Installation Progress	Sul. Sever administrators have unrestricted access to the Database Engine.			
Complete				
	Add <u>Current User</u> <u>Add</u> <u>B</u> emove			
	< Back Me	xt >	Cance	el

After that, the wizard will once again check that your configuration is good, before starting the actual installation. The wizard will present a summary of the configuration and the installation can be started by pressing "Install".

🐮 SQL Server 2022 Setup		-		×
Ready to Install Verify the SQL Server 2022 feat	tures to be installed.			
Edition License Terms Global Rules Product Updates Install Setup Files Install Setup Files Install Rules Azure Extension for SQL Server Feature Selection Feature Configuration Server Configuration Server Configuration Database Engine Configuration Feature Configuration Rules Ready to Install Installation Progress Complete	Ready to install SQL Server 2022: Summary - Edition: Developer - Action: Install Breequirities - Action: Install Breequirities - Microsoft Visual C++ 2017 Redistributable Breequirities - Microsoft Visual C++ 2017 Redistributable Breequirities - Instance Configuration Breeters - Instance Configuration - State Component root directory - Shared Fasture (WOW64) directory: C-IProgram Files (k80)/Microsoft SQL Server\ - Product Update - Update Enabled: True - Dipdate Enabled: True - Update Enabl			>
	< <u>B</u> ack Insta	all 👘	Cancel	

The installation will take a few minutes. You may need to restart Windows afterwards.

During the installation the Windows services configured earlier were created:

🐫 Services					- 0	×
<u>File</u> <u>Action</u> <u>V</u> iew	<u>H</u> elp					
🗢 🄿 📊 🧔 🖬	🛓 🛛 📷 🕨 🕨 🔳 💵 💷					
Q Services (Local)	Name	Description	Status	Startup Type	Log On As	^
	SQL Server (MSSQLSERVER)	Provides storage, processing and controlled access of data, and rapid transaction processing.	Running	Automatic (D	NT Service\MSSQLSERVER	
	🧠 SQL Server Agent (MSSQLSERVER)	Executes jobs, monitors SQL Server, fires alerts, and allows automation of some administrative tasks.		Manual	NT Service\SQLSERVERAGENT	
	🖏 SQL Server Browser	Provides SQL Server connection information to client computers.		Disabled	Local Service	
	SQL Server CEIP service (MSSQLSERVER)	CEIP service for Sql server	Running	Automatic (D	NT Service\SQLTELEMETRY	- 17
	🧠 SQL Server VSS Writer	Provides the interface to backup/restore Microsoft SQL server through the Windows VSS infrastructure.	Running	Automatic	Local System	~
	Extended Standard					_

Since the Management Tools were not installed together with the rest of the server features, we have to go back to the main menu and select "Install SQL Server Management Tools" from the "Installation" option. Download and install the latest version (19.3 as of January 2024).

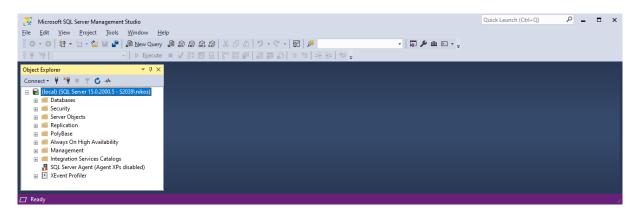
2.2 Microsoft SQL Server Management Studio

The Management Studio is a graphical client where you can manage your SQL Server and execute SQL statements. This is the recommended tool for working with SQL.

When you start the Management Studio, you will be asked to connect to a server. The default is to connect to the local server (it may be (local), localhost or the computer's name) using the current Windows account. This is probably what you want.

🖵 Connect to Server		×
	SQL Server	
Server type:	Database Engine	~
Server name:	(local)	~
Authentication:	Windows Authentication	~
<u>U</u> ser name:	S2039\nikos	\sim
<u>P</u> assword:		
	Remember password	
	Connect Cancel Help O	ptions >>

Once connected, you will see a tree structure on the left, representing the different objects on the connected server.



Right-click on any object in order to see the available options. The Management Studio offers several wizards for performing tasks.

On the right side of the window, you can open one or more query tabs (by pressing "New Query"). Each query tab is associated with a database. The default is the system database "master". The connected database is indicated in the toolbar and in the status bar of the query tab.

SQLQuery1.sql - (local).master (S2039\nikos (51)) <u>File Edit View Query Project Tools Wi</u>		itudio				Quick Launch (Ctrl+Q)	_ م	□ ×
🖉 🗢 🗢 🔤 👻 🖓 - 🔄 🔛 🔐 🔒 <u>N</u> ew Quer		9.0.	8 1		- 🕢 🌶 🏛 🖂 - 🖕			
∓ 🦞 master → Þ E <u>x</u> ecut			표 2월 - 문 포 - (*	• =				
Object Explorer 👻 🕂 🗙	SQLQuery1.sql - (lor (S2039\niko	os (51)) ≠ ×						-
Connect - 🛱 🏹 🗏 🝸 🖒 🔸								÷
□ G (local) (SQL Server 15.0.2000.5 - S2039\nikos) □ Detabases □ Server Objects □ PolyBase □ PolyBase □ Management □ Integration Services Catalogs ■ Server (Agent XPs disabled)								¥
XEvent Profiler	100 % 👻 <							•
	🐝 Connected. (1/1)				(local) (1	5.0 RTM) \$2039\nikos (51)	master 00:00:00	0 rows
🗇 Ready					INS			

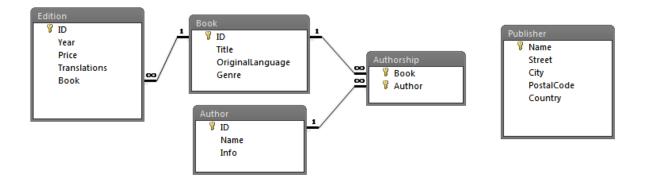
If you execute a query or a script (by pressing the Execute button, F5 or Ctrl + E), you will see the result in the lower half of the query tab (the following example requires of course that a database cardb, which has the table Person, already exists).

		Quick Launch (Ctrl+Q)	_ م	□ ×
Object Explorer ▼ ↓ × Connect- ♥ ** ● Ocal (5QL Server 15.0.2000.5 - \$2039/nikos) ● Databases ● Databases ● Cocal (5QL Server 15.0.2000.5 - \$2039/nikos) ● Database Sangshots ● Server 0bjects ● Replication ● PolyBase ● Always On High Availability ● Management ● Integration Services Catalogs	SQLQuery1.sql - (iob (52039/nikos (51))* ÷ × SQLQuery1.sql - (iob (52039/nikos (51))* ÷ × SELET pid, name FROM Person 100 % • Image: State of the state of			
		(15.0 RTM) \$2039\nikos (51)	cardb 00:00:0	0 8 rows

The Execute command will execute the selection and if no selection was made, it will execute the entire content of the query area.

3 Sample Data

In this chapter we will take a look at the data that we will use in all the examples to follow. We will use a database with both relational data and XML data. That is, a database with tables, columns, keys, integrity constraints, etc. but with a couple of columns containing XML documents (each cell being an XML document).



The columns Edition.Translations and Author.Info contain XML according to the following XML Schemas. The rest of the columns are defined as VARCHAR and INTEGER. The only column that allows NULL is the column Book.Genre.

XML Schema for documents in Edition.Translations:

```
<?xml version="1.0"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema">
  <element name="Translations">
     <complexType>
        <sequence>
          <element name="Translation" minOccurs="0" maxOccurs="unbounded">
             <complexType>
               <attribute name="Language" type="string" use="required"/>
               <attribute name="Publisher" type="string" default="N/A"/>
                <attribute name="Price" type="integer" use="required"/>
             </complexType>
          </element>
        </sequence>
     </complexType>
  </element>
</schema>
```

The value of the attribute Publisher must correspond to a value in the column Publisher.Name. This kind of constraint could be implemented as a set of triggers.

XML Schema for documents in Author.Info:

```
<?xml version="1.0"?>
<schema xmlns="http://www.w3.org/2001/XMLSchema">
<element name="Info" type="InfoType"/>
<complexType name="InfoType">
<all>
<element name="Email" type="string"/>
<element name="YearOfBirth" type="integer"/>
<element name="Country" type="string"/>
</all>
</complexType>
</schema>
```

The entire script for creating and populating the database can be found on http://coursematerial.nikosdimitrakas.com/sqlserverxml/

The script can be run in the Management Studio. It creates a database called bookdb.

3.1 XML data type

SQL Server 2022 has a data type called XML (introduced in SQL Server 2005). This data type can be typed or untyped. The untyped XML data type accepts any well-formed XML or fragment, while the typed XML data type is associated with an XML Schema and allows only valid XML documents. There is no real support for DTDs, but inline DTDs are allowed and can be used to provide defaults. Any schema to be used, must first be registered as a SCHEMA COLLECTION.

In the provided database script, there is no validation.

4 Examples

In this chapter we will go through some examples using SQL Server specific XML features. All the examples in this chapter assume that the database has been created and that there is a connection to it.

4.1 FOR XML

In order to create XML as output from an SQL SELECT statement, SQL Server adds an extra clause after the ORDER BY clause. The FOR XML clause can be used in different modes and it transforms the result of the SQL statement into an XML document or fragment. The result is serialized by default, but the keyword TYPE can be used in order to keep the result as a value of the XML data type. The three modes (RAW, AUTO and PATH) are described in the following sections.

4.1.1 RAW

If we want to create an XML document with all the publishers, we could use the RAW mode which by default will create one element per row and one attribute per column.

```
SELECT *
FROM publisher
FOR XML RAW ('Publisher'), ROOT ('Publishers')
```

The result looks like this:

```
<Publishers>
<Publisher name="ABC International" street="7th Bear St."
city="Berlin" postalcode="44500" country="Germany" />
<Publisher name="Addison" street="2nd Monet St."
city="Toulouse" postalcode="98700" country="France" />
```

</Publishers>

...

The element names and attribute names will have the specified case, and the default is lower case. The following example shows how we can change the case or even the entire name.

```
SELECT CitY, NamE, Country AS Land
FROM publisher
FOR XML RAW ('Publisher'), ROOT('Publishers')
```

This will give us the following result:

```
<Publishers>
<Publisher CitY="Berlin" NamE="ABC International" Land="Germany" />
<Publisher CitY="Toulouse" NamE="Addison" Land="France" />
...
```

</Publishers>

The root element is created through the use of the optional keyword ROOT. The name of the root element can be specified as in the previous examples, but if not, the default is "root". Omitting the keyword ROOT will give us a fragment as the result (a sequence of Publisher elements in the previous examples). After the keyword RAW we can specify the element name for each row. The default is "row".

If we would prefer to have elements instead of attributes for the columns, we can specify the keyword ELEMENTS. Here is an example that also illustrates the absence of ROOT and element names.

SELECT Name, City, Country FROM publisher FOR XML RAW, ELEMENTS The result is an XML fragment with one "row" element for each row and three subelements for the three selected columns:

```
<row>
<Name>ABC International</Name>
<City>Berlin</City>
<Country>Germany</Country>
</row>
<row>
<Name>Addison</Name>
<City>Toulouse</City>
<Country>France</Country>
</row>
```

Now, if a column is already XML, we may not get the result that we would want using the RAW mode. Consider the following example where we want to have one element per author.

```
SELECT name, info
FROM author
FOR XML RAW ('Author'), ROOT ('Authors')
```

The column info is already XML so it will not be added as an attribute, but rather, as a subelement. The column name is "info" so a subelement "info" will be created. This will unfortunately lead to two subsequent "info" elements:

```
<Authors>
<Author name="John Craft">
  <info>
   <Info>
    <Email>jc@jc.com</Email>
    <Country>England</Country>
    <YearOfBirth>1948</YearOfBirth>
   </Info>
  </info>
</Author>
<Author name="Arnie Bastoft">
 <info>
   <Info>
    <Email>bastoft@frei.at</Email>
    <Country>Austria</Country>
    <YearOfBirth>1971</YearOfBirth>
   </Info>
 </info>
</Author>
</Authors>
```

One way to avoid this is to have an unnamed column in the SELECT clause. That means that it needs to be generated either with a nested statement or with a function/method.

```
SELECT name, (SELECT info FROM author a WHERE a.id = author.id)
FROM author
FOR XML RAW ('Author'), ROOT ('Authors')
```

The following also produces the same result:

```
SELECT name, info.query('/')
FROM author
FOR XML RAW ('Author'), ROOT ('Authors')
```

4.1.2 AUTO

The AUTO mode is very similar to RAW. It will also create one attribute per column and one element per row, but the element name will be the same as the table name (in the case specified in the FROM clause). It can also be configured for elements and to include a root element. AUTO differs from RAW when more tables are involved in the SELECT clause. Then each table will get a new element, thus possibly creating several levels of subelements.

If we want to get an XML document with all the books and their respective editions, we can use the following statement.

SELECT Book.Title, Edition.Year FROM Book, Edition WHERE Edition.book = Book.id FOR XML AUTO, ROOT ('Books')

This will create a root element Books with one Book element for each book. Each Book element will have one Edition element for each edition. The join condition will, of course, eliminate any book without editions. The result looks like this:

```
<Books>
<Book Title="Archeology in Egypt">
<Edition Year="1992" />
<Edition Year="1994" />
<Edition Year="1999" />
</Book>
<Book Title="Contact">
<Edition Year="1988" />
</Book>
<Book Title="Database Systems in Practice">
<Edition Year="2000" />
<Edition Year="2000" />
<Edition Year="2002" />
</Book>
...
</Book>
```

How does SQL Server know to nest Edition elements inside Book elements? The order of the columns in the SELECT clause decides how the elements will be nested. But only if subsequent rows have the same values in the outer level. So, the ORDER BY clause may affect the result. Try the following statement with and without the ORDER BY clause.

SELECT Year, Title FROM Book, Edition WHERE Edition.book = Book.id ORDER BY year FOR XML AUTO, ROOT ('Books')

And how about this?

SELECT Title, Year FROM Book, Edition WHERE Edition.book = Book.id ORDER BY Year DESC FOR XML AUTO, ROOT ('Books')

How can we order the editions without destroying the nesting?

When many columns appear in the SELECT clause, it is still the order in which each table first appears in the SELECT clause that decides the nesting. Consider the following example:

SELECT Title, Year, Genre, Price FROM Book, Edition WHERE Edition.book = Book.id FOR XML AUTO, ROOT ('Books')

The first and third columns come from the table book, while the second and fourth columns come from the table edition. But the element creating and nesting is only affected by the first appearance of each table. Thus, the table book was first and the table edition was second. Any extra columns appearing later in the SELECT clause will be placed in the existing element corresponding to the relevant table.

The AUTO mode is completely dependent on the tables that appear in the FROM clause. So, if we want to create a nesting that is not based on tables, we have to work around that. How about creating an XML document with books per genre? Since the genre and title are in the same table, AUTO mode would place them on the same element level. With the following statement, we let AUTO mode see two tables, which creates two element levels.

SELECT Name, Title FROM Book, (SELECT DISTINCT genre AS name FROM book) AS Genre WHERE genre = name ORDER BY name FOR XML AUTO, ROOT ('Books') The first column in the SELECT clause comes from the table Genre, so Genre elements are created under the root element. The second column in the SELECT clause comes from the table Book, so the Book elements will be subelements to Genre elements. Here is the result:

<Books>

```
<Genre Name="Educational">
  <Book Title="Oceanography for Dummies" />
  <Book Title="European History" />
  <Book Title="Musical Instruments" />
  <Book Title="Oceans on Earth" />
  <Book Title="Archeology in Egypt" />
  <Book Title="Database Systems in Practice" />
  <Book Title="Music Now and Before" />
 </Genre>
 <Genre Name="Novel">
  <Book Title="Midsommar i Lund" />
  <Book Title="Våren vid sjön" />
  <Book Title="The Beach House" />
 </Genre>
 <Genre Name="Science Fiction">
  <Book Title="Contact" />
  <Book Title="The Fourth Star" />
</Genre>
 <Genre Name="Thriller">
  <Book Title="Dödliga Data" />
  <Book Title="Misty Nights" />
 </Genre>
</Books>
```

4.1.3 PATH

Working with RAW and AUTO modes can be quite challenging when we want to create structures that combine attributes and elements and have several levels of arbitrary nesting. PATH mode is the most flexible mode of the FOR XML clause. It allows us to fully control the XML structure and to place each value at the level we want. One drawback is that it does not have automatic grouping when nesting like the AUTO mode has. But that can be handled with nesting SELECT statements appropriately.

In PATH mode, the column names and aliases define where in the generated structure different values should be placed. Consider the following example.

SELECT name AS "@Name", city AS "Location/@City", country AS "Location/@Country" FROM publisher FOR XML PATH ('Publisher'), ROOT ('Publishers')

This will create a root element Publishers with one Publisher element for each row. The values of the three columns in the SELECT clause will be placed in the nodes (relative to the Publisher element) specified by the paths in the column aliases. Here is the result:

```
<Publishers>
<Publisher Name="ABC International">
<Location City="Berlin" Country="Germany" />
</Publisher>
<Publisher Name="Addison">
<Location City="Toulouse" Country="France" />
</Publisher>
...
</Publishers>
```

Grouping subelements (as AUTO mode does) requires that we nest SELECT statements. If we want to get all the books per genre, we may write the following statement.

```
SELECT name AS "@Name", (SELECT Title
FROM Book
WHERE genre = g.name
FOR XML AUTO, TYPE)
FROM (SELECT DISTINCT genre AS name
FROM book
WHERE genre IS NOT NULL) AS g
FOR XML PATH ('Genre'), ROOT ('Books')
```

In the outer SELECT statement we work with one table named g. This table contains all the genres. In the SELECT clause we have one column to be placed as a Name attribute in the Genre element, and another column (the nested statement) that is unnamed, which means that it should be the content of the Genre element. It is also possible to specify that a column should become the content of the Genre element by using the column alias "*".

The result of the previous statement looks like this:

```
<Books>
<Genre Name="Science Fiction">
<Book Title="Contact" />
<Book Title="The Fourth Star" />
</Genre>
<Genre Name="Thriller">
<Book Title="Misty Nights" />
<Book Title="Dödliga Data" />
</Genre>
...
</Books>
```

It is very important that the result of the nested statement is XML. That's why we use the TYPE keyword. If the result had been a serialized XML (as VARCHAR), then it would have become the text node of the Genre element and not subelements.

4.2 XML data type methods

SQL Server offers a handful of methods that can be used on objects of the data type XML. The methods query, value, exist and nodes provide similar functionality to the SQL standard's functions XMLQUERY, XMLTABLE and XMLEXISTS. The method modify addresses DML for XML.

4.2.1 Methods query and value

Using XQuery on an XML object can be done with the methods query and value. The first returns XML (either a fragment or a document), while the second returns a singleton value of a basic data type.

If we want to get the name and country of each author, we could use the following statement.

SELECT name, info.value('(//Country)[1]', 'VARCHAR(20)') FROM author

The method value requires that the XQuery statement (often just an XPath expression) is statically a single node. This means that in most cases (even when we know that the result is one node), we need to add the predicate [1] after the expression (which we place inside parentheses). The second argument of the method is the data type of the result (as a string).

The above statement returns the content of the first Country element. Compare it with the following, which returns the first text node under the Country element:

SELECT name, info.value('(//Country/text())[1]', 'VARCHAR(20)') FROM author

In this case the result would be the same since the Country element only has one text node.

John Craft	England
Arnie Bastoft	Austria
Meg Gilmand	Australia
Chris Ryan	France

But consider the following example:

DECLARE @x XML; SET @x = '<A>heyallyou' SELECT @x.value('(//A)[1]', 'VARCHAR(20)') SELECT @x.value('(//A/text())[1]', 'VARCHAR(20)') SELECT @x.value('(//A/text())[2]', 'VARCHAR(20)') SELECT @x.value('(//A/text())[2]', 'VARCHAR(20)')

The first SELECT returns the content of the first A element, while the second one returns the first text node under the A element. The third SELECT returns the second text node directly

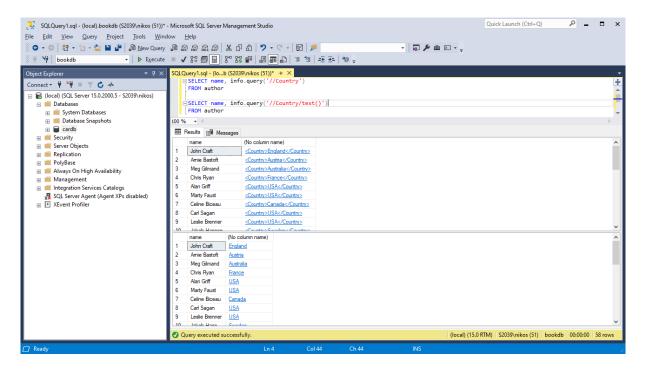
under the A element, and the fourth one returns the second text node inside the element A (which is the text node of the B element).

The method query is similar to value, but it always returns XML, so it does not need a second parameter. The following two statements, therefore, do not produce the same result:

```
SELECT name, info.query('//Country')
FROM author
```

```
SELECT name, info.query('//Country/text()')
FROM author
```

The first one returns the Country element, while the second one returns the text node under the Country element. The result may appear to be the same as when using the method value, but its data type is different, which is indicated by the blue color and underline in the Management Studio:



The method query can, of course, return XML fragments, so it is not bound by the same restrictions as the method value. We may want to find all the languages a book has been translated into and have them as XML. The following statement does that. Well, almost.

SELECT title, translations.query('for \$l in //Translation/@Language return element Language {data(\$l)}')

FROM edition, book WHERE book = book.id

The problem is that a book may have many editions and thus the result would be one row per edition:

Misty Nights	<language>German</language>		
	<language>French</language>		
	<language>Russian</language>		
Archeology in Egypt	<language>Swedish</language>		
	<language>French</language>		
Archeology in Egypt	<language>Swedish</language>		
	<language>French</language>		
	<language>Chinese</language>		
Archeology in Egypt	<language>French</language>		
	<language>Turkish</language>		
	<language>Spanish</language>		

•••

We could, of course, correct this by first merging all the translations of every book's editions, or by nesting in some practical way. Here is an example.

SELECT title, (SELECT translations.query('for \$l in //Translation/@Language return element Language {data(\$l)}')

FROM Edition WHERE book = book.id FOR XML PATH (''), TYPE)

FROM book

This will, of course, return duplicates if two different editions have been translated into the same language and the DISTINCT keyword is not allowed when XML columns are involved. Using distinct-values in the XQuery expression would not help either, since it works with one edition at the time. But we could add a second XQuery expression on the result (which is XML since we used the keyword TYPE):

SELECT title, (SELECT translations.query('for \$I in //Translation/@Language return element Language {data(\$I)}') FROM Edition

> WHERE book = book.id FOR XML PATH (''), TYPE).query('for \$I in distinct-values(//Language) return element Language {\$I}')

FROM book

•••

The result is now perfect:

Archeology in Egypt	<pre><code c<="" code="" th=""></code></pre>
	<language>French</language>
	<language>Chinese</language>
	<language>Turkish</language>
	<language>Spanish</language>
Contact	<language>Swedish</language>
	<language>German</language>
	<language>Russian</language>

If you feel that the previous statement is too complex, then try the following statement.

SELECT title, T.x.query('for \$I in distinct-values(//@Language) return element Language {\$I}') FROM book CROSS APPLY (SELECT translations FROM edition WHERE book = book.id FOR XML RAW, TYPE) AS T(x)

The use of the keywords CROSS APPLY allows us to use a column from the table book inside the nested statement that creates the table T (with column x). So, what this does, is that it takes the translations of the editions of the current book and merges them into one XML. This XML can then be queried and it contains all the languages of all the editions.

4.2.2 Method exist

The method exist can be used to check if a particular XQuery statement (in most cases an XPath expression) has a non-empty result. We could, of course, use the method value or the method query and then add an SQL predicate on the result, but the method exist is far more convenient. We may want to find all the authors from Sweden. The following statement has a condition that does exactly that.

SELECT name FROM author WHERE info.exist('//*[Country="Sweden"]') = 1

What the condition says, is that there must exist a node that has a subelement Country with the value "Sweden". The result of the method is 1 if true and 0 if false. The result has two rows:

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The condition could, of course, be written in many ways. All the following are equivalent (in this case):

info.exist('//Country[.="Sweden"]') = 1
info.exist('//Country[text()="Sweden"]') = 1
info.exist('/Info/Country[text()="Sweden"]') = 1
info.exist('/Info[Country="Sweden"]') = 1
info.value('(//Country)[1]', 'VARCHAR(20)') = 'Sweden'

4.2.3 Method nodes

The method nodes can be used to create a relational table from an XML sequence. Each node in the sequence becomes one row in the resulting table. We could, for example, use the following statement to retrieve books that have been translated into Swedish.

SELECT DISTINCT title FROM book, edition WHERE book = book.id AND 'Swedish' IN (SELECT x.value('.', 'VARCHAR(20)') FROM translations.nodes('//@Language') AS C(x))

The method nodes creates a table where each row is a Language attribute node. This table is given the alias T with column name x. We then use the method value on x to retrieve the value of the node as a VARCHAR so that it can be compared to 'Swedish'. This would of course be a very complex way to solve this particular problem, which can be solved with the following statement instead:

SELECT DISTINCT title FROM book, edition WHERE book = book.id AND translations.exist('//Translation[@Language = "Swedish"]')=1

A more suitable situation for the method nodes is the following. Let's get the translations of each book.

SELECT title, year, x.query('.') FROM book, edition CROSS APPLY translations.nodes('//Translation') AS Translation(x) WHERE book = book.id

Here we use the keywords CROSS APPLY in order to use the column translations directly in the FROM clause and generate the table Translation. The result of the method nodes is a table where each row is a reference to a node in the original XML object. The generated column can therefore not be used directly. We use the method query in order to retrieve a new XML value. The result looks like this:

```
Misty Nights1987<Translation Language="German" Publisher="Kingsly" Price="130" />Misty Nights1987<Translation Language="French" Publisher="Addison" Price="135" />Misty Nights1987<Translation Language="Russian" Publisher="Addison" Price="125" />Archeology in Egypt1992<Translation Language="Swedish" Price="340" />Archeology in Egypt1992<Translation Language="French" Price="320" />Archeology in Egypt1994<Translation Language="Swedish" Publisher="KLC" Price="390" />Archeology in Egypt1994<Translation Language="French" Publisher="KLC" Price="330" />Archeology in Egypt1994<Translation Language="French" Publisher="KLC" Price="330" />Archeology in Egypt1994<Translation Language="French" Publisher="KLC" Price="320" />Archeology in Egypt1994<Translation Language="French" Publisher="KLC" Price="320" />Archeology in Egypt1999<Translation Language="French" Publisher="KLC" Price="320" />Archeology in Egypt1999<Translation Language="Turkish" Publisher="KLC" Price="320" />Archeology in Egypt1999<Translation Language="Turkish" Publisher="Turk And Turk" Price="300" />Archeology in Egypt1999<Translation Language="Spanish" Pri
```

We can, of course, shred this further and get the language, publisher and price as columns:

The result looks like this:

Misty Nights	1987	German	Kingsly	130
Misty Nights	1987	French	Addison	135
Misty Nights	1987	Russian	Addison	125
Archeology in Egypt	1992	Swedish	NULL	340
Archeology in Egypt	1992	French	NULL	320
Archeology in Egypt	1994	Swedish	KLC	390
Archeology in Egypt	1994	French	KLC	330
Archeology in Egypt	1994	Chinese	Shou-Ling	280
Archeology in Egypt	1999	French	KLC	320
Archeology in Egypt	1999	Turkish	Turk And Turk 300	
Archeology in Egypt	1999	Spanish	NULL	300

•••

We could, of course, use XQuery to return "N/A" instead of NULL when the Publisher attribute is not present:

SELECT title, year, x.value('@Language', 'VARCHAR(20)'),

x.value('if (empty(@Publisher)) then "N/A" else string(@Publisher)', 'VARCHAR(30)'), x.value('@Price', 'INTEGER')

FROM book, edition CROSS APPLY translations.nodes('//Translation') AS Translation(x) WHERE book = book.id

SQL Server does not like mixing attribute nodes with literals so we use the XQuery function string.

4.2.4 Method modify

The method modify can be used to perform DML operations similar to SQL's INSERT, DELETE and UPDATE on XML. This method accepts three kinds of expressions: insert, delete, replace value of. We discuss these expressions further in the next section.

4.3 DML for XML

In order to manipulate XML with operations similar to SQL's INSERT, DELETE and UPDATE, we need to use the XML method modify. The method itself is designed in such a way that it is valid in a context where an assignment is expected. So, it cannot be used inside a SELECT statement. But it can be used in the SET clause of an UPDATE statement. If we would like to modify Carl Sagan's info XML in some manner, we would use the following statement:

UPDATE author SET info.modify('modify-expression') WHERE name = 'Carl Sagan'

The method modify actually affects the object on which it is called, so the modification is made to the value in the column. The method modify does not return anything (and that is why it cannot be used in any other context).

There are three types of expressions that can be used as the method's parameter. The following sections give some examples of them.

4.3.1 insert

An insert expression can be used to add new nodes. The new nodes can be added before or after a particular node (as siblings), or as the first or last children of a particular node. We could for example add a Website element as the last child element of the info element in Carl Sagan's info XML. This would, of course, violate the XML Schema, but we can ignore that right now. Here is the statement that performs the update:

UPDATE author SET info.modify('insert element Website {"www.carlsagan.com"} as last into (/Info)[1]') WHERE name = 'Carl Sagan'

As usual in SQL Server, when an XPath expression must statically give a single node, we use the predicate [1]. The new element node will become the last child node of the (first) Info element. The new node doesn't have to be constructed. It can be specified in its serialized form. So, the following would have the exact same effect:

UPDATE author SET info.modify('insert <Website>www.carlsagan.com</Website> as last into (/Info)[1]') WHERE name = 'Carl Sagan'

If we would prefer to add the Website element immediately after the Email element, then we could use the following instead:

UPDATE author SET info.modify('insert <Website>www.carlsagan.com</Website> after (/Info/Email)[1]') WHERE name = 'Carl Sagan'

The new element node becomes the next sibling to the node identified by the XPath expression after the keyword "after".

4.3.2 delete

To remove one or more nodes, a delete expression can be used. The delete expression deletes any node matching a specified XPath expression. So, if the XPath expression doesn't match any node, the XML value will be unaffected. We could perhaps remove the Email element from Carl Sagan's info XML:

```
UPDATE author
SET info.modify('delete /Info/Email')
WHERE name = 'Carl Sagan'
```

This removes the element node, while the following would instead remove the text node leaving an empty Email element:

UPDATE author SET info.modify('delete /Info/Email/text()') WHERE name = 'Carl Sagan'

Carl Sagan's info XML would look like this after the previous statement:

```
<Info>
<Email />
<Country>USA</Country>
<YearOfBirth>1913</YearOfBirth>
</Info>
```

If you want to restore Carl Sagan's info XML to the original value, use the following statement:

```
UPDATE author
SET info = '<Info><Email>carlsagan@nasa.gov</Email><Country>USA</Country>
<YearOfBirth>1913</YearOfBirth></Info>'
WHERE name = 'Carl Sagan'
```

4.3.3 replace value of

In some cases, we may want to change the value of a particular node, instead of removing it and creating a new one. The expression "replace value of" lets us identify a node and provide a new value for it. We can, for instance, change Carl Sagan's e-mail:

```
UPDATE author
SET info.modify('replace value of (/Info/Email/text())[1] with "carl@sagan.info"')
WHERE name = 'Carl Sagan'
```

The XPath expression must be statically a single node, so we use the predicate [1]. In most cases the node must be a text node or an attribute node.

4.4 XQuery functions

SQL Server does not support so many of the functions defined in the XQuery standard. Refer to the documentation in order to see which functions are supported. SQL Server adds two extra XQuery functions that make it possible to pass SQL context values to the XQuery context. These two functions are described in this section.

4.4.1 sql:column

The function sql:column can be used inside an XQuery statement in order to access a column available in the SQL context that initiated the XQuery statement. We could, for example, create an XML document per author where we have the name and country as attributes:

SELECT info.query('let \$c := //Country/text() return element Author {attribute Country {\$c}, attribute Name {sql:column("name")}}')

FROM author

The XQuery statement accesses the name of the current author from the SQL context with the function sql:column. We construct the attributes in the return clause because SQL Server does not support computed constructors in the let clause. The result of the previous statement has one row per author and one column containing the XML document:

```
<Author Country="England" Name="John Craft" />
<Author Country="Austria" Name="Arnie Bastoft" />
<Author Country="Australia" Name="Meg Gilmand" />
<Author Country="France" Name="Chris Ryan" />
...
```

4.4.2 sql:variable

The function sql:variable is similar to sql:column, but is relevant in T-SQL when we execute a block that has variables. Here is an example:

```
DECLARE @x XML
SET @x = "
DECLARE @val INTEGER
SET @val = 2
SELECT @x.query('for $e in (1,2,3,4,5,6,7,8,9,10)
let $n := sql:variable("@val")
return element Math {$n , "*", $e, "=", $n*$e}')
```

We create an empty XML value just so that we can call the method query which we can use to execute XQuery. In the XQuery statement we retrieve the variable @val from the SQL context and place it (its value) in the XQuery variable \$n. The for clause loops through the sequence and for each value of \$e a Math element is produced. SQL Server does not support sequence construction with the keyword "to", so we cannot use (1 to 10). The result is the following XML fragment: $2 * 1 = 2$ $2 * 2 = 4$ $2 * 3 = 6$ $2 * 4 = 8$ $2 * 5 = 10$ $2 * 6 = 12$ $2 * 7 = 14$ $2 * 8 = 16$ $2 * 9 = 18$ $2 * 10 = 20$

The following example is **not supported**, since SQL Server does not support variable node names in computed constructors:

```
DECLARE @x XML
SET @x = "
DECLARE @en VARCHAR(10)
SET @en = 'Number'
SELECT @x.query('for $n in (1,2,3)
return element {sql:variable("@en")} {$n}')
```

The function sql:variable is quite limited. It may only contain a single value and may only be used in specific contexts.

5 Epilogue

SQL Server has chosen not to implement the XML functionality described in the latest SQL standards. Instead, there are several SQL Server specific extensions to SQL that can be used to produce the same results. In some cases, the SQL Server specific solutions are simpler, while in other cases they are more complex. Either way, it is uncertain how long it will take before SQL Server implements the functionality described in the SQL standard. All the XML-related keywords from the SQL standard are considered reserved words for future use. SQL Server also has features that complement the SQL standard. Maybe some of them will be incorporated in the coming versions of the SQL standard. SQL Server's support for XPath and XQuery is also quite limited. Hopefully, coming versions will support more functions, axes, operators and other features not yet supported. SQL Server 2022 has according to the release notes not modified any functionality relating to XML since SQL Server 2012. Since SQL Server 2016, there is also support for JSON with, among other things, a FOR JSON clause similar to the FOR XML clause described earlier.

I hope you have found this introduction educational and fun. Do not hesitate to send comments and suggestions that may help improve the next version of the compendium!

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